

SFY 2017 Budget Update Utah State Board of Education

Assessment and Accountability

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Assessment and Accountability Mission

The mission of Assessment and Accountability is to serve the public by providing measurable information about Utah students' core knowledge, skills, and abilities; acquired through high quality valid and reliable assessments. We strive to:

- Positively impact student learning and the public's understanding through quality assessment;
- Provide meaningful assessment that is essential to assess the extent of student progress toward proficiency;
- Provide accurate, understandable reporting that is essential so that all stakeholders in Utah education have the data needed for making effective decisions concerning school policies, programs and curricula;
- Provide knowledge about use of accountability measures, resources/tools to support best practices in the area of assessment and support broad understandings;
- Utilize innovative technologies support valid and cost-effective indicators of student proficiency;
- Accomplish all tasks through positive collaborative partnerships with districts and state agencies.



Assessment
and Accountability

Programs/Assessments Mandated by Federal Code, State Statue, and Board Rule:



Assessments and Tools Supported by USOE	Mandate
Student Assessment of Growth & Excellence (SAGE, American Institutes for Research) Summative, Interim, and Formative Systems, item development and professional development	Utah House Bill 15 (2012)
ACCESS for ELLs (WIDA Consortium, University of Wisconsin)	Federal ESEA Title III
Dynamic Learning Maps (DLM Consortium, University of Kansas)	Federal 34 CFR 200.6(a)(2)
Online Formative Writing Tool (Utah Compose)	USBE R277-404-3 (A)(2)
Online College Test Preparation (Shmoop)	Utah Senate Bill 175 (2013)
ACT/Explore/Plan (ACT)	Utah Senate Bill 175 (2013)
K-3 Reading Tool (Amplify, Voyager)	Utah Senate Bill 263 (2015)

FY 2017 Budget Prior to Carry Forward – Board Report

Utah State Office of Education				
Board Summary Section Report				
For the Section - Assessment & Accountability (0760/0761)				
As of: 07/30/2016				
Assessment & Accountability (0760/0761) Funding Sources	Actual FY 2016	Board Approved Budget FY 2017	Expenditures as of 7/31/2016	Expenditures as a % of Budget
State Funding (0760 project 30)	\$ 3,702,423	\$ 5,173,999	\$ 32,140	0.62%
State Funding (0760 project 32)	\$ 1,800,000	\$ 1,800,000	\$ 149,939	8.33%
State Funding (0760 project 50)	\$ -	\$ 125,000	\$ -	0.00%
State Funding Carry Forward (0760 TA55 project 30)	\$ 470,000			0.00%
State Funding Carry Forward (0760 TA66 project 30)				0.00%
State Funding Mineral Lease (0760 project 30)	\$ 163,324	\$ 37,250	\$ -	0.00%
Carry Forward Federal (0760 T764/T765 project 30)	\$ 3,015,050			0.00%
Carry Forward Federal (0760 T765/T766 project 30)			\$ 39,724	0.00%
Federal (0760 project 30)	\$ 67,389	\$ 4,960,616	\$ 138	0.00%
Carry Forward NAEP (0760 project 30)	\$ 126,592		\$ 7,050	0.00%
Estimate NAEP (0760 project 30)	\$ 42,680	\$ 180,000		0.00%
State Funding (0761 project 01)	\$ 4,825,405	\$ 6,700,000		0.00%
Carry Forward State Funding (0761 TA55 project 01)	\$ 1,895,322			0.00%
Carry Forward State Funding (0761 TA66 project 01)				0.00%
Carry Forward State Funding (0761 TA55 project 34)	\$ 690,007		\$ 238	0.00%
Total	\$ 16,798,191	\$ 18,976,865	\$ 229,229	1.21%

FY 2017 Budget Prior to Carry Forward – Board Report continued

Assessment & Accountability (0760) Areas of Expenditures by Budget Categories	Actual FY 2016	Board Approved Budget FY 2017	Expenditures as of 7/31/2016	Expenditures as a % of Budget
Salaries & Benefits	\$ 1,976,081	\$ 2,249,106	\$ 78,801	3.50%
Purchased Services	\$ 5,052,068	\$ 5,558,799	\$ 192	0.00%
Travel	\$ 47,031	\$ 62,355		0.00%
Supplies and Materials	\$ 180,168	\$ 292,084	\$ 59	0.02%
Unallocated Expenses	\$ -	\$ 1,945,096		0.00%
Equipment	\$ 15,792	\$ 55,500		0.00%
Capital Expenditures	\$ 3,456			0.00%
Indirect Cost	\$ 292,711	\$ 313,926		0.00%
Grants and Transfers to Other Agencies	\$ 20,150			0.00%
Flow Through Funds to LEAs	\$ 1,800,000	\$ 1,800,000	\$ 149,939	8.33%
Total	\$ 9,387,458	\$ 12,276,865	\$ 228,991	1.87%

Assessment & Accountability (0761) Areas of Expenditures by Budget Categories	Actual FY 2016	Board Approved Budget FY 2017	Expenditures as of 7/31/2016	Expenditures as a % of Budget
Salaries & Benefits				0.00%
Purchased Services	\$ 6,954,412	\$ 6,700,000		0.00%
Travel	\$ 8,376			0.00%
Supplies and Materials	\$ 447,946		\$ 238	0.00%
Unallocated Expenses				0.00%
Equipment				0.00%
Capital Expenditures				0.00%
Indirect Cost				0.00%
Grants and Transfers to Other Agencies				0.00%
Flow Through Funds to LEAs				0.00%
Total	\$ 7,410,734	\$ 6,700,000	\$ 238	0.00%

FY 2016 Carry Forward – Obligations and Spending Plan

	2016 Carry Forward Amount	Restricted for 2017 Use	Expenditures	Balance	
State Assessment Allocations	\$1,901,577.00	<ul style="list-style-type: none"> SAGE AIR contract 2016 Item writing from 2016 	\$1,901,577.00	\$0.00	
State – HB 15 Adaptive Testing FY 2016	\$1,874,596.00	<ul style="list-style-type: none"> SAGE AIR contract from 2016 	\$1,874,596.00	\$0.00	
State Licensing Offset FY 2015	\$1,627,539.00	<ul style="list-style-type: none"> Held by USBE 	\$0.00	\$1,627,539.00	USBE
State – K3 Reading Tool FY 2016	\$1,220,438.00	<ul style="list-style-type: none"> K-3 Reading Tool contract 2017 	\$1,220,438.00	0.00	
State Licensing Offset FY 2016	\$6,562,095.00	<ul style="list-style-type: none"> SAGE AIR contract 2016 SAGE AIR contract 2017 – addition to \$6.7 M Assessment to Achievement Education Direction contract 2017 Assessment to Achievement Project Expenditure 2017 	\$2,258,441.00	\$4,303,656.00	2017-2020 Assessment to Achievement professional development

FY 2017 Purchased Services Budget Detail

Assessment/Other Contract	Description of Services	Amount	Funding Source
SAGE—American Institutes for Research	SAGE contract provides Utah statewide computer adaptive assessment system and related services.	\$8,849,266.00	<ul style="list-style-type: none"> • 2017 State Online Adaptive Testing Allocation - \$6,700,000 • 2017 State Assessment Allocation \$1,555,250 • 2016 State License Offset \$594,016
		\$2,324,347.00	<ul style="list-style-type: none"> • 2016 SAGE Online Adaptive Testing Alloc CF - \$1,895,322 • 2016 State Assessment Alloc CF \$236,429 • 2016 State License Offset CF \$213,323
ACCESS for ELLs—WIDA Consortium	ACCESS contract provides English language proficiency assessment for pre-K – 12 th grade.	\$1,129,170.00	<ul style="list-style-type: none"> • 2016 Federal Carry Forward (CF)
Dynamic Learning Maps—DLM Consortium	DLM contract provides DLM assessment for students with significant cognitive disabilities in grades 3 – high school	\$51,000.00	<ul style="list-style-type: none"> • 2016 Federal Carryover
Utah Compose—Measurement Inc.	Utah Compose contract provides formative online writing tool for grades 3 – 12.	\$458,632.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation
Online College Test Preparation	Online College Test Prep contract provides online ACT preparation.	\$150,000.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation
ACT—ACT, Inc.	ACT contract provides a national college readiness examination.	\$1,500,000.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation

FY 2017 Purchased Services Detail continued

Assessment/Other Contract	Description of Services	Amount	Funding Source
Assessment to Achievement— Education Direction	<ul style="list-style-type: none"> A to A contract provides instruction to build capacity for effective use of assessment data. USBE provides staff support, project management, meeting space, stipends, books, on-site visits, etc. 	\$1,095,425.00	<ul style="list-style-type: none"> 2016 SAGE License committed to Assessment to Achievement \$882,102 2015 Federal Carry Forward \$213,323 Addtn'l \$590,500 to execute program \$4.6 SAGE license fee to pay for 2017-2020 AtoA professional development.
APAC—National Center for the Improvement of Education	TAC/PAC contract provides expert technical consultancy on assessment & accountability issues for state and federal large scale summative assessment issues to meet industry standards.	\$394,900.00	<ul style="list-style-type: none"> 2015 Federal Carry Forward \$3,443 2016 Federal Carry Forward \$282,707 2017 State Assessment Allocation \$108,750
Consultant—Dr. Charles Hausman	Dr. Hausman contract provides research evaluations and analyses of SAGE, ACT, and other state assessments.	\$33,333.33	<ul style="list-style-type: none"> 2017 State Assessment Allocation
Item Development—Amendment #4	Development of SAGE item bank required to update the SAGE assessment to complete the standards based item bank. Over 8,000 item needed over the next two years that include new complete science test.	\$3,530,740.00	<ul style="list-style-type: none"> 2016 State Assessment Alloc \$1,665,148 2016 Federal Carry Forward \$1,865,592
Dual Immersion AAPPL	Assessment of Performance toward Proficiency in Languages	\$125,000.00	<ul style="list-style-type: none"> 2017 State Dual Immersion Allocation
College Board for AP Data	State level data file provided	\$525.00	<ul style="list-style-type: none"> 2017 State Assessment Allocation
Other Purchase Services	Various Operating Services; HR, Payroll, Wireless, Rent, etc.	\$41,625.00	<ul style="list-style-type: none"> 2017 State Assessment Allocation 2016 Federal Carry Forward 2016 NAEP Carry Forward

FY 2017 Additional Operating Budget Detail

	Amount
In State Travel/ Out of State Travel	\$71,478.00
Equipment	\$45,250.00
Supplies and Materials	\$791,151.98
Indirect Costs	\$313,926.00

Travel

	Amount	Funding Source
In-State Travel	\$16,378.50	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Out-State Travel	\$35,100.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Assessment to Achievement	\$20,000.00	<ul style="list-style-type: none"> • 2016 State License Offset

Equipment

	Amount	Funding Source
Office	\$8,500.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Data Processing Hardware	\$36,750.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover

FY 2017 Additional Operating Budget Detail continued

Supplies & Materials			
Workshops /Conferences	Description of Services	Amount	Funding Source
Assessment and Accountability, Utah Compose, and Formative Writing	<p>Assessment and accountability staff provide a wide range of professional learning opportunities for LEAs and schools designed to write, implement and use classroom and large-scale assessments and assessment/accountability data to support instruction and student learning. These workshops are tailored to meet specific program and LEA goals including:</p> <ul style="list-style-type: none"> • SAGE Item development, administration, use of data • K-3 Reading, ACCESS, DLM assessments and use of data • Formative tools (SAGE Formative, Utah Compose, UTIPS) • Assessment literacy for administrators and teachers • Accessing and using USBE-provided assessment and accountability data (publicly reportable data, accountability reports) 	\$60,000.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover
Assessment to Achievement	<p>Professional development to focus on effective use of data to improve student achievement. School and district teams will increase their own expertise in analyzing data and then use the analysis to inform instruction and program decisions that positively influence students and schools</p>	\$570,500.00	<ul style="list-style-type: none"> • 2015 Federal Grant Carryover • 2016 License Offset

FY 2017 Additional Operating Budget Detail continued

Supplies & Materials continued		
	Amount	Funding Source
Office Supplies / Books	\$10,668.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Printing	\$4,050.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Copies	\$5,000.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation
Data Processing - Supplies	\$4,100.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover
Building & Grounds Operations	\$22,800.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Insurance & Bonds	\$7,950.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover
Membership - SCASS/CCSSO	\$95,350.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2017 Mineral Lease Funds
Professional Dev/Training/Seminars	\$11,234.00	<ul style="list-style-type: none"> • 2017 State Assessment Allocation • 2016 Federal G-5 Grant Carryover • 2016 NAEP Carryover

FY 2017 Anticipated Expenditures & 2018 Directives

Funding Source	Allocated with CF	Anticipated Expense	Remaining Balance	Description
T017 State Assessment Allocation	\$7,098,999.00	\$7,098,999.00	\$0.00	
T016 State Assessment Alloc Carry Forward	\$1,901,577.00	\$1,901,577.00	\$0.00	Encumbered FY16 contracts
T767 G-5 Federal Grant	\$4,960,616.00	\$6,950.00	\$4,953,666.00	Federal Carryover anticipated to be needed for testing in 2018
T766 Federal Carry Forward	\$4,770,788.00	\$4,414,233.00	\$356,555.00	Used for various assessments, technical services, anticipated item development
T765 Federal Carry Forward	\$500,709.00	\$500,709.00	\$0.00	
T837 NAEP	\$180,000.00	\$21,816.00	\$158,184.00	These funds can only be expended for purposes of the NAEP Assessment
T836/T835 NAEP Carry Forward	\$140,305.00	\$140,305.00	\$0.00	The NAEP Federal carryover is expended for NAEP Coordinator and related expenses first.
T047 Mineral Lease Funds	\$37,250.00	\$37,250.00	\$0.00	
T017 Adaptive Testing Appropriation	\$6,700,000.00	\$6,700,000.00	\$0.00	This appropriation only meets a portion of funds needed to meet contract obligations
T016 Adaptive Testing Approp Carry Forward	\$1,874,596.00	\$1,874,596.00	\$0.00	Encumbered FY16 contracts
SAGE FY 16 License Offset	\$6,562,095.00	\$2,258,441.00	\$4,303,654.00	These funds are dedicated to meet contract obligations and professional development, and risk mitigation and the direction of the USBE
Total	\$34,726,935.00	\$24,954,876.00	\$9,772,059.00	

CCSSO SCASS Membership

State Collaborative on Assessment and Student Standards (SCASS - See more at: [http://www.ccsso.org/Resources/Programs/State_Collaboratives_on_Assessment_and_Student_Standards_\(SCASS\).html#sthash.V1CBinxx.dpuf](http://www.ccsso.org/Resources/Programs/State_Collaboratives_on_Assessment_and_Student_Standards_(SCASS).html#sthash.V1CBinxx.dpuf))

Location	Minneapolis, MN (Fall), New Orleans, LA (Winter), & Austin, TX (Summer)
Dates	Three/Five days (TBD), June 2017
Personnel	7 Specialists, 2 Coordinators
Purpose	The State Collaborative on Assessment and Student Standards (SCASS) strive to develop and implement high standards and valid assessment systems that maximize educational achievement for all children. Over the past 20 years, SCASS has brought State Education Agency (SEA) career service professionals together to solve complex problems impacting the states. SCASS groups are formed in response to specific project needs. Some SCASS groups focus on specific content areas like science, math, social studies, or English language arts. Others focus on policy, psychometric problems, or technology. Membership in SCASS represents an investment that sees its value leveraged and returned many times over through advocacy, program involvement, and professional development.
Training	Yes. Staff will share best practices and recommendations with Utah public education policymakers, USBE staff, LEAs and schools.

SCASS Assessment and Accountability Membership 2017:

- Accountability Systems and Reporting (ASR) Whitney Phillips
- Science (ECEA) Scott Roskelley
- English Language Arts (ELA) Julie Benson and Daron Kennett
- Math (Math) Todd Vawdrey and Jared Wright
- English Language Learners (ELL) Cydnee Carter
- Formative Assessment for Students and Teachers (FAST) Kim Rathke
- Technical Issues in Large-Scale Assessment (TILSA) Julie Quinn

FY 2017 Proposed Budget with Carry Forwards

<u>Line Item</u>	<u>SFY 17 Proposed Budget with Carry Forwards</u>	<u>State</u>	<u>Federal</u>	<u>NAEP</u>	<u>Mineral Lease</u>
Salaries	\$1,454,838.00	\$652,287.00	\$718,084.00	\$84,467.00	
Benefits	\$794,268.00	\$332,495.00	\$414,423.00	\$47,350.00	
Purchased Services	\$19,683,963.00	\$16,114,004.00	\$3,566,634.00	\$3,325.00	
In State Travel	\$36,379.00	\$26,750.00	\$8,300.00	\$1,329.00	
Out of State Travel	\$35,100.00	\$13,500.00	\$14,000.00	\$7,600.00	
Supplies and Materials	\$791,152.00	\$671,484.00	\$78,200.00	\$4,218.00	\$37,250.00
U-PASS Flow Through	\$1,800,000.00	\$1,800,000.00			
Unallocated Expenses	\$0.00	\$0.00	\$0.00	\$0.00	
Equipment	\$45,250.00	\$35,000.00	\$9,000.00	\$1,250.00	
Capital Expenditures					
Indirect Costs	<u>\$313,926.00</u>	<u>\$188,093.00</u>	<u>\$113,251.00</u>	<u>\$12,582.00</u>	<u>\$0.00</u>
Total:	\$24,954,876.00	\$19,833,613.00	\$4,808,641.00	\$162,121.00	\$37,250.00

***\$591,000.00 of 2017 Federal Grant transferred to Information Technology

FY 2017 Salaries and Benefit Line Item

# of FTEs (21 Total)	Position	Funded By
4	Educational Specialist	State (9 FTEs)
1	Financial Analyst II	
1	Administrative Secretary	
1	Office Specialist	
1	Educational Coordinator	
1	Educational Director	
7	Educational Specialist	Federal (11 FTEs)
1	Educational Coordinator	
1	Research Consultant	
1	Office Specialist	
1	Executive Secretary	
1	Educational Specialist	NAEP (1 FTE)